

BENEFITS: IMPROVED ORGANIZATION, INCREASED EMPLOYEE ENGAGEMENT

Since implementing ComplySci, most of the employees at VSS have been satisfied. As someone who manages the firm's entire compliance program, Jackie is relieved to receive fewer complaints about ComplySci.

Jackie also experiences fewer overdue requests, and she attributes this to increased employee engagement and user adoption. Unlike with SchwabCT, employees are now taking ownership of their compliance responsibilities by leveraging the ability to access various documents via ComplySci's document repository. "Before I had to create documents and store them on Salesforce. While not all employees have access to Salesforce, everyone has access to ComplySci, which was a nice bonus."

Further, there has been an improved firm-wide organization now that there is less paper, less manual tracking, and more effective recordkeeping. One particular enhancement that stands out to Jackie is ComplySci's 200+ direct broker feeds. Jackie explains, "The direct broker feed has cut down on my workload. Avoiding the need to have our IT Director obtain and send brokerage account data from an FTP server has made life a lot easier."

"The customer service at ComplySci is special. They are all extremely knowledgeable and friendly. I appreciate the team always allows me the opportunity to walk through the product and learn, so I can reduce the times I must reach out again in the future." ~ Jackie Jacobs, VSS

RESULTS: AUTOMATION ALLOWS FOR GREATER RISK MANAGEMENT

ComplySci has automated more compliance tasks for Jackie, which ultimately leads to better risk management. She appreciates the simplicity of the platform, including its easy-to-build forms and templates.

In addition to strengthening VSS's compliance program, ComplySci also frees Jackie up to focus on career development. With less time spent on manual compliance tasks, she can devote some time to more strategic initiatives and professional goals. "I now have time to dedicate to firm projects that I've always thought about, yet haven't had time for in the past. I've been able to focus more on career development and certain personal growth initiatives which help me provide VSS with better service."

ComplySci is a leading provider of technology solutions that help compliance organizations identify, monitor, manage and report on conflicts of interest arising from employee activities, including personal trading, gifts and entertainment, political contributions, outside business affiliations, and other code of ethics violations. Founded in 2003 by early pioneers in the development of automated compliance management solutions, ComplySci is now trusted by over 1,400 customers, including some of the world's largest financial institutions. Compliance Officers rely on ComplySci's scalable and sophisticated platform to stay ahead of risk.

To learn more about ComplySci, visit us at complysci.com

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